| 'TRA | NSMITTA | Docket No. 10305US01 | | | | | | | | | |
|--|--|-------------------------|------------------------|--------------|----------------|------------------|--|--|--|--|--|
| In Re Application Of: Yung Yip and Alan Olson NOV 1 7 2005 | | | | | | | | | | | |
| Appli | cation No. | DENA Filiping Date | Examiner | Customer No. | Group Art Unit | Confirmation No. | | | | | |
| 10/047,280 01/14/2002 | | | Tanh Q. Nguyen | | 2182 | 4504 | | | | | |
| Title: | SYSTEM H. STORAGE | | E EMULATOR AND DATA CA | RTRIDGE CA | RRYING A NON | N-TAPE | | | | | |
| Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | | | | | | | | | |
| | | | 37 CFR 1.97(b) | | | | | | | | |
| 1. 🛚 | The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. | | | | | | | | | | |
| | 37 CFR 1.97(c) | | | | | | | | | | |
| 2. | The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | | | | | | | | |
| | ☐ the statement specified in 37 CFR 1.97(e); | | | | | | | | | | |
| | | | | | | | | | | | |
| | ☐ the f | ee set forth in 37 CF | R 1.17(p). | | | | | | | | |
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| ATRA | NSMITT | Docket No. 10305US01 | | | | | | | | |
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| In Re A | Application ø | Nov , ~ 3 | Alan Olson | | | | | | | |
| (1) | | | Examiner | | Customer No. | Group Art Unit | Confirmation No. | | | |
| 10/047,280 01/14/2002 | | Tanh Q. Nguyen | | | 2182 | 4504 | | | | |
| Title: | SYSTEM H STORAGE | IAVING TAPE DRIV MEDIUM | E EMULATOR ANI | DATA CA | RTRIDGE CA | RRYING A NO | N-TAPE | | | |
| Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) | | | | | | | | | | |
| | The Director as described Ch | the amount of or is hereby authorized below. Large the amount of edit any overpayment large any additional fey credit card. Form P | t. ee required. | dit Deposit / | Account No. | | | | | |
| WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | |
| " " " " " " " " " " " " " " " " " " " | certify that this account is being patent and Trace (Pate) | ate of Transmission by a document and authorizating facsimile transmitted flemark Office (Fa Signature Printed Name of Person Signature may only be used that | to the United States The United States | I hereby c with the U as first "Commissi 22313-145 | ertify that this cornited States Postaclass mail in incore for Patents, 0" [37,CFR 1.8(a)] Signature of Personal Control of Pe | respondence is being Service with sufficient an envelope and P.O. Box 1450, Ale on Son Mailing Correspondence of Person Mailing Conference of Person Mailing Conf | ng deposited cient postage ddressed to exandria, VA | | | |
| | Ee | Signature Signature | nd) | Dated: 1 | 1155 | | | | | |
| Imation P.O. Bo St. Pau Telepho | Levinson, R n Corp. Lega ox 64898 l, Minnesota one: 651/704- ile: 651/704- | 55164-0898 I-3604 | | | | | | | | |
| cc: | | | | | | | | | | |

Atty. Docket No.: 10305US01 Serial No.: 10/047,280 INFORMATION DISCLOSURE Applicant(s): Yip et al. NOV 1 7 2005 Filing Date: 01/14/2002 Group: 2182 U.S. PATENT DOCUMENTS Filing Date If SubClass Examiner Document Number Date Name Class Appropriate Initial 10/2002 Emberty et al. 6,464,509 6,512,962 01/2003 Dimitri et al. 03/2003 6,537,013 Emberty et al. 6,540,528 04/2003 Brodsky et al. 04/2003 Albrecht et al. 6,545,865 6,600,703 07/2003 Emberty et al. 6,636,942 10/2003 Greco Emberty et al. 6,650,601 11/2003 6,650,961 11/2003 **Deckers** Gallo et al. 02/2004 6,690,539 Kulakowski et al. 6,693,859 02/2004 6,697,214 02/2004 Dimitri et al. 04/2004 Brodsky et al. 6,722,895 05/2004 Kulakowski et al. 6,731,455 6,744,595 06/2004 Blair et al. Dimitri et al. 06/2004 6,754,768 08/2004 -Blair et al. 6,771,448 6,785,078 08/2004 Basham et al. Blair et al. 11/2004 6,813,117 11/2004 Kulakowski et al. 6,819,524 6,826,004 11/2004 Albrecht et al. 6,837,718 01/2005 Brodsky et al. 6,850,380 02/2005 Basham et al. 6,854,982 02/2005 Brodsky et al. 6,865,640 03/2005 Dimitri et al. 6,867,942 03/2005 Albrecht et al. **EXAMINER Date Considered** *Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820 (also form PTO-1449)